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1 Introduction

1.1 Description

The 3-Heights™ PDF Validator API safeguards the quality of PDF documents. It checks PDF files for conformance to the ISO standards for PDF and PDF/A documents. Unfortunately, there are many PDF creation or manipulation tools in use that do not comply with the PDF or PDF/A standard. System and operational interruptions often occur as a result. Incoming documents should be verified before they flow into business processes to prevent interruptions of this nature and to avoid unexpected costs.

The 3-Heights™ PDF Validator API checks whether PDF documents comply with the PDF or PDF/A standard. Additional verification tests, such as checking the version number of the PDF document, are also possible; the tool can also verify conformance to internal directives - use of the right color, for instance, or use of the right fonts and other specifications.

Through its interfaces (C, Java, .NET, COM) and thanks to its flexibility a developer can integrate the 3-Heights™ PDF Validator API in virtually any application.

1.2 Functions

3-Heights™ PDF Validator API verifies PDF documents in accordance with the ISO standard for PDF and also PDF/A for long-term archiving. The tool can check the conformity of individual documents and entire archives. The result output is needs-oriented, e.g. a detailed report for a manufacturer of PDF software or a summary of error reports for the user. The description includes every detail such as frequency, page number or PDF object number. Verification of internal specifications (e.g. standard image resolution) can occur at the same time.
1.2.1 Features

- Validate PDF documents on the basis of various PDF specifications (PDF 1.x, PDF 2.0, PDF/A-1, PDF/A-2, PDF/A-3)
- PDF-conforming dependent lexical, syntactic, and semantic checks (see Coverage)
- Detailed or summarized reporting (log file)
- Detailed error description (number, type, description, PDF object, page number)
- Classification by error, warning and information
- Optional cancellation of validation on occurrence of the first error
- Read encrypted PDF files
- Determine claimed conformance of document
- Validate conformance to corporate directives defined in custom profile
- Read input document from file, memory, or stream

1.2.2 Formats

Input Formats:

- PDF 1.x (PDF 1.3, ..., PDF 1.7)
- PDF 2.0
- PDF/A-1a, PDF/A-1b
- PDF/A-2a, PDF/A-2b, PDF/A-2u
- PDF/A-3a, PDF/A-3b, PDF/A-3u

1.2.3 Conformance

- Standards:
  - ISO 32000-1 (PDF 1.7)
  - ISO 32000-2 (PDF 2.0)
  - ISO 19005-1 (PDF/A-1)
  - ISO 19005-2 (PDF/A-2)
  - ISO 19005-3 (PDF/A-3)
- Quality assurance: veraPDF test corpus and Isartor test suite

1.3 Interfaces

The following interfaces are available:

- C
- Java
- .NET Framework
- .NET Core
- COM

1.4 Operating Systems

The 3-Heights™ PDF Validator API is available for the following operating systems:

- Windows Client 7+ | x86 and x64

1 Limited supported OS versions. Operating Systems
Linux:
- Red Hat, CentOS, Oracle Linux 7+ | x64
- Fedora 29+ | x64
- Debian 8+ | x64
- Other: Linux kernel 2.6+, GCC toolset 4.8+ | x64
- macOS 10.10+ | x64

'+ ' indicates the minimum supported version.

## 1.5 How to Best Read this Manual

If you are reading this manual for the first time, i.e. would like to evaluate the software, the following steps are suggested.

1. Read the chapter [Introduction](#) to verify this product meets your requirements.
2. Identify what interface your programming language uses.
3. Read and follow the instructions in the chapter [Installation and Deployment](#).
4. In the chapter [Programming Interfaces](#) find your programming language. Please note that not every language is covered in this manual.
   For most programming languages there is sample code available. For a start it is generally best to refer to these samples rather than writing code from scratch.
5. (Optional) Read the chapter [User's Guide](#) for general information about the API. Read the [Interface Reference](#) for specific information about the functions of the API.
# 2 Installation and Deployment

## 2.1 Windows

The 3-Heights™ PDF Validator API comes as a ZIP archive or as a NuGet package.

The installation of the software requires the following steps.

1. You need administrator rights to install this software.
2. Log in to your download account at [http://www.pdf-tools.com](http://www.pdf-tools.com). Select the product “PDF Validator API”. If you have no active downloads available or cannot log in, please contact pdfsales@pdf-tools.com for assistance. You will find different versions of the product available. We suggest to download the version, which is selected by default. A different version can be selected using the combo box. The product comes as a Zip Archive containing all files, or as a NuGet Package containing all files for development in .NET. There is a 32 and a 64-bit version of the product available. While the 32-bit version runs on both, 32 and 64-bit platforms, the 64-bit version runs on 64-bit platforms only. The ZIP archive as well as the NuGet package contain both the 32-bit and the 64-bit version of the product.
3. If you are using the ZIP archive, do the following. Unzip the archive to a local folder, e.g. C:\Program Files\PDF Tools AG\.
   This creates the following subdirectories (see also [Zip Archive](#)):

<table>
<thead>
<tr>
<th>Subdirectory</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>bin</td>
<td>Contains the runtime executable binaries.</td>
</tr>
<tr>
<td>doc</td>
<td>Contains documentation.</td>
</tr>
<tr>
<td>include</td>
<td>Contains header files to include in your C/C++ project.</td>
</tr>
<tr>
<td>jar</td>
<td>Contains Java archive files for Java components.</td>
</tr>
<tr>
<td>lib</td>
<td>Contains the object file library to include in your C/C++ project.</td>
</tr>
<tr>
<td>samples</td>
<td>Contains sample programs in various programming languages</td>
</tr>
</tbody>
</table>
4. The usage of the NuGet package is described in section [NuGet Package](#).
5. (Optional) Register your license key using the [License Management](#).
6. Identify which interface you are using. Perform the specific installation steps for that interface described in [Interface Specific Installation Steps](#).

## 2.2 Linux and macOS

This section describes installation steps required on Linux or macOS.

The Linux and macOS version of the 3-Heights™ PDF Validator API provides two interfaces:

- Java interface
- Native C interface

Here is an overview of the files that come with the 3-Heights™ PDF Validator API:
### File Description

<table>
<thead>
<tr>
<th>Name</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>bin/x64/libPdfValidatorAPI.so</td>
<td>This is the shared library that contains the main functionality. The file's extension differs on macOS (.dylib instead of .so).</td>
</tr>
<tr>
<td>doc/<em>.</em></td>
<td>Documentation</td>
</tr>
<tr>
<td>include/<em>.</em></td>
<td>Contains header files to include in your C/C++ project.</td>
</tr>
<tr>
<td>jar/VALA.jar</td>
<td>Java API archive.</td>
</tr>
<tr>
<td>samples</td>
<td>Example code.</td>
</tr>
</tbody>
</table>

#### 2.2.1 Linux

1. Unpack the archive in an installation directory, e.g. `/opt/pdf-tools.com/`
2. Verify that the GNU shared libraries required by the product are available on your system:

```bash
ldd libPdfValidatorAPI.so
```

In case the above reports any missing libraries you have three options:

a. Download an archive that is linked to a different version of the GNU shared libraries and verify whether they are available on your system. Use any version whose requirements are met. Note that this option is not available for all platforms.

b. Use your system's package manager to install the missing libraries. It usually suffices to install the package `libstdc++6`.

c. Use GNU shared libraries provided by PDF Tools AG:
   2. Download the GNU shared libraries for your platform.
   3. Install the libraries manually according your system's documentation. This typically involves copying them to your library directory, e.g. `/usr/lib` or `/usr/lib64`, and running `ldconfig`.
   4. Verify that the GNU shared libraries required by the product are available on your system now.

3. Create a link to the shared library from one of the standard library directories, e.g:

```bash
ln -s /opt/pdf-tools.com/bin/x64/libPdfValidatorAPI.so /usr/lib
```

4. Optionally register your license key using the [Command Line License Manager Tool](http://www.pdf-tools.com).
5. Identify which interface you are using. Perform the specific installation steps for that interface described in [Interface Specific Installation Steps](http://www.pdf-tools.com).

#### 2.2.2 macOS

The shared library must have the extension `.jnilib` for use with Java. We suggest that you create a file link for this purpose by using the following command:

```bash
ln libPdfValidatorAPI.dylib libPdfValidatorAPI.jnilib
```
2.3 Zip Archive

The 3-Heights™ PDF Validator API provides four different interfaces. The installation and deployment of the software depend on the interface you are using. The table below shows the supported interfaces and examples with which programming languages they can be used.

<table>
<thead>
<tr>
<th>Interface</th>
<th>Programming Languages</th>
</tr>
</thead>
</table>
| **.NET**  | The MS software platform .NET can be used with any .NET capable programming language such as:  
|           | C#                     |
|           | VB .NET                |
|           | J#                     |
|           | others                 |
|           | For a convenient way to use this interface, see [NuGet Package](#). |
| **Java**  | The Java interface is available on all platforms. |
| **COM**   | The component object model (COM) interface can be used with any COM-capable programming language, such as:  
|           | MS Visual Basic        |
|           | MS Office Products such as Access or Excel (VBA) |
|           | C++                    |
|           | VBScript               |
|           | others                 |
|           | This interface is available in the Windows version only. |
| **C**     | The native C interface is for use with C and C++. This interface is available on all platforms. |

2.3.1 Development

The software developer kit (SDK) contains all files that are used for developing the software. The role of each file with respect to the four different interfaces is shown in table Files for Development. The files are split in four categories:

- **Req.** This file is required for this interface.
- **Opt.** This file is optional. See also table File Description to identify which files are required for your application.
- **Doc.** This file is for documentation only.
- **Empty field** An empty field indicates this file is not used at all for this particular interface.

### Files for Development

<table>
<thead>
<tr>
<th>Name</th>
<th>.NET</th>
<th>Java</th>
<th>COM</th>
<th>C</th>
</tr>
</thead>
<tbody>
<tr>
<td><code>bin\&lt;platform&gt;\PdfValidatorAPI.dll</code></td>
<td>Req.</td>
<td>Req.</td>
<td>Req.</td>
<td>Req.</td>
</tr>
<tr>
<td><code>bin\*NET.dll</code></td>
<td></td>
<td></td>
<td></td>
<td>Req.</td>
</tr>
<tr>
<td><code>bin\*NET.xml</code></td>
<td></td>
<td></td>
<td></td>
<td>Doc.</td>
</tr>
</tbody>
</table>
Files for Development

<table>
<thead>
<tr>
<th>Name</th>
<th>.NET</th>
<th>Java</th>
<th>COM</th>
<th>C</th>
</tr>
</thead>
<tbody>
<tr>
<td>doc\PdfValidatorAPI.idl</td>
<td></td>
<td></td>
<td></td>
<td>Doc</td>
</tr>
<tr>
<td>doc\javadoc*.*</td>
<td></td>
<td></td>
<td></td>
<td>Doc</td>
</tr>
<tr>
<td>include\pdfvalidatorapi\c.h</td>
<td></td>
<td></td>
<td></td>
<td>Req</td>
</tr>
<tr>
<td>include*.*</td>
<td></td>
<td></td>
<td></td>
<td>Opt</td>
</tr>
<tr>
<td>jar\VALA.jar</td>
<td></td>
<td></td>
<td></td>
<td>Req</td>
</tr>
<tr>
<td>lib&lt;platform&gt;\PdfValidatorAPI.lib</td>
<td></td>
<td></td>
<td></td>
<td>Req</td>
</tr>
</tbody>
</table>

The purpose of the most important distributed files of is described in table  File Description.

File Description

<table>
<thead>
<tr>
<th>Name</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>bin&lt;platform&gt;\PdfValidatorAPI.dll</td>
<td>This is the DLL that contains the main functionality (required), where &lt;platform&gt; is either Win32 or x64 for the 23-bit or the 64-bit library respectively.</td>
</tr>
<tr>
<td>bin*NET.dll</td>
<td>The .NET assemblies are required when using the .NET interface. The files bin*NET.xml contain the corresponding XML documentation for MS Visual Studio.</td>
</tr>
<tr>
<td>doc*.*</td>
<td>Various documentations.</td>
</tr>
<tr>
<td>include*.*</td>
<td>Contains files to include in your C / C++ project.</td>
</tr>
<tr>
<td>lib&lt;platform&gt;\PdfValidatorAPI.lib</td>
<td>On Windows operating systems, the object file library needs to be linked to the C/C++ project.</td>
</tr>
<tr>
<td>jar\VALA.jar</td>
<td>The Java API archive.</td>
</tr>
<tr>
<td>samples*.*</td>
<td>Contains sample programs in different programming languages.</td>
</tr>
</tbody>
</table>

2.3.2 Deployment

For the deployment of the software only a subset of the files are required. Which files are required (Req.), optional (Opt.) or not used (empty field) for the four different interfaces is shown in the table below.

<sup>2</sup> Not required for Linux or macOS.
<sup>3</sup> These files must reside in the same directory as PdfValidatorAPI.dll.
### Files for Deployment

<table>
<thead>
<tr>
<th>Name</th>
<th>.NET</th>
<th>Java</th>
<th>COM</th>
<th>C</th>
</tr>
</thead>
<tbody>
<tr>
<td>bin*NET.dll</td>
<td>Req.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>jar\VALA.jar</td>
<td>Req.</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

The deployment of an application works as described below:

1. Identify the required files from your developed application (this may also include color profiles).
2. Identify all files that are required by your developed application.
3. Include all these files into an installation routine such as an MSI file or simple batch script.
4. Perform any interface-specific actions (e.g. registering when using the COM interface).

#### Example:
This is a very simple example of how a COM application written in Visual Basic 6 could be deployed.

- The developed and compiled application consists of the file `application.exe`. Color profiles are not used.
- The main DLL `PdfValidatorAPI.dll` must be distributed.
- All files are copied to the target location using a batch script. This script contains the following commands:

```plaintext
copy application.exe %targetlocation%\.
copy PdfValidatorAPI.dll %targetlocation%\.
```

4. For COM, the main DLL needs to be registered in silent mode (`/s`) on the target system. This step requires Power-User privileges and is added to the batch script.

```plaintext
regsvr32 /s %targetlocation%\PdfValidatorAPI.dll.
```

### 2.4 NuGet Package

Nuget is a package manager that facilitates the integration of libraries for the software development in .NET. The nuget package for the 3-Heights™ PDF Validator API contains all the libraries needed, managed and native.

**Installation**
Download the package `PdfTools.PdfValidator.6.4.0.nupkg` from your account on [https://www.pdf-tools.com/](https://www.pdf-tools.com/) to some suitable location.

In Visual Studio click on “Tools” and then “Options”. Select “NuGet Package Manager” and add the location of the downloaded package in “Package Sources”.

Right-click on a .NET project in Visual Studio and select “Manage NuGet Packages...”. Finally, select the package source that was defined above and browse to the desired package.

**Development**
The package `PdfTools.PdfValidator.6.4.0.nupkg` contains .NET libraries with versions .NET Standard 1.1, .NET Standard 2.0 and .NET Framework 2.0 and native libraries for Windows, macOS and Linux.

The required native libraries are loaded automatically. All project platforms are supported, including “AnyCPU”.

In order to use the software, you must first install a license key for the 3-Heights™ PDF Validator API. To do this you have to download the product kit and use the license manager in it. See also [License Management](#).
Note: This NuGet package is only supported on a subset of the operating systems supported by .NET Core. See also Operating Systems.

2.5 Interface Specific Installation Steps

2.5.1 COM Interface

Registration  Before you can use the 3-Heights™ PDF Validator API component in your COM application program you have to register the component using the regsvr32.exe program that is provided with the Windows operating system. The following command shows the registration of PdfValidatorAPI.dll. Note that in Windows Vista and later, the command needs to be executed from an administrator shell.

```
regsvr32 "C:\Program Files\PDF Tools AG\bin\<platform>\PdfValidatorAPI.dll"
```

Where `<platform>` is `Win32` for the 32-bit and `x64` for the 64-bit version.

If you are using a 64-bit operating system and would like to register the 32-bit version of the 3-Heights™ PDF Validator API, you need to use the regsvr32 from the directory `%SystemRoot%\SysWOW64` instead of `%SystemRoot%\System32`. 4

If the registration process succeeds, a corresponding dialog window is displayed. The registration can also be done silently (e.g. for deployment) using the switch `/s`.

Other Files  The other DLLs do not need to be registered, but for simplicity it is suggested that they reside in the same directory as the PdfValidatorAPI.dll.

2.5.2 Java Interface

The 3-Heights™ PDF Validator API requires Java version 7 or higher.

For compilation and execution  When using the Java interface, the Java wrapper `jar\VALA.jar` needs to be on the CLASSPATH. This can be done by either adding it to the environment variable CLASSPATH, or by specifying it using the switch `-classpath`:

```
javac -classpath ";;C:\Program Files\PDF Tools AG\jar\VALA.jar" sampleApplication.java
```

For execution  Additionally the library `PdfValidatorAPI.dll` needs be in one of the system's library directories¹ or added to the Java system property `java.library.path`. This can be achieved by either adding it dynamically at program startup before using the API, or by specifying it using the switch `-Djava.library.path` when starting the Java VM. Choose the correct subdirectory (`x64` or `Win32` on Windows) depending on the platform of the Java VM².

---

4 Otherwise you get the following message: `LoadLibrary("PdfValidatorAPI.dll") failed - The specified module could not be found.`

5 On Windows defined by the environment variable `PATH` and e.g. on Linux defined by `LD_LIBRARY_PATH`.

6 If the wrong data model is used, there is an error message similar to this: “Can’t load IA 32-bit .dll on a AMD 64-bit platform”


```java
java -classpath ".;C:\Program Files\PDF Tools AG\VALA.jar" ^
"-Djava.library.path=C:\Program Files\PDF Tools AG\bin\x64" sampleApplication
```

Note that on Linux or macOS, the path separator usually is a colon and hence the above changes to something like:

```java
... -classpath ":/path/to/VALA.jar" ...
```

## 2.5.3 .NET Interface

The 3-Heights™ PDF Validator API does not provide a pure .NET solution. Instead, it consists of a native library and .NET assemblies, which call the native library. This has to be accounted for when installing and deploying the tool.

It is recommended to use the [NuGet Package](https://nuget.org). This ensures the correct handling of both the .NET assemblies and the native library.

Alternatively, the files in the [Zip Archive](https://archive.org) can be used directly in a Visual Studio project targeting .NET Framework 2.0 or later. To achieve this, proceed as follows.

The .NET assemblies (*.NET.dll) are to be added as references to the project; They are needed at compile time.

PdfValidatorAPI.dll is not a .NET assembly, but a native library. It is not to be added as a reference to the project. Instead, it is loaded during execution of the application.

For the operating system to find and successfully load the native library PdfValidatorAPI.dll, it must match the executing application's bitness (32-bit versus 64-bit) and it must reside in either of the following directories:

- In the same directory as the application that uses the library.
- In a subdirectory win-x86 or Pathwin-x64 for 32-bit or 64-bit applications respectively.
- In a directory that is listed in the PATH environment variable

In Visual Studio, when using the platforms "x86" or "x64", the above can be achieved by adding the 32-bit or 64-bit PdfValidatorAPI.dll respectively as an "existing item" to the project, and setting its property "Copy to output directory" to true. When using the "AnyCPU" platform, then you have to make sure by some other means that both the 32-bit and the 64-bit PdfValidatorAPI.dll are copied to subdirectories win-x86 and win-x64 of the output directory respectively.

## 2.5.4 C Interface

- The header file pdfvalidatorapi_c.h needs to be included in the C/C++ program.
- On Windows operating systems, the library PdfValidatorAPI.lib needs to be linked to the project.
- The dynamic link library PdfValidatorAPI.dll needs to be in a path of executables (e.g. on the environment variable %PATH%).

## 2.6 Uninstall, Install a New Version

If you have used the ZIP file for the installation: In order to uninstall the product, undo all the steps done during installation, e.g. un-register using `regsvr32.exe /u`, delete all files, etc.

Installing a new version does not require to previously uninstall the old version. The files of the old version can directly be overwritten with the new version.
3 License Management

The 3-Heights™ PDF Validator API requires a valid license in order to run correctly. If no license key is set or the license is not valid, then most of the interface elements documented in Interface Reference will fail with an error code and error message indicating the reason.

3.1 License Features

The functionality of the 3-Heights™ PDF Validator API contains one area to which the following license feature is assigned:

**Custom**  Verify conformance to custom corporate directives.

The presence of this feature in a given license key can be checked in the Graphical License Manager Tool or by means of the Command Line License Manager Tool. The Interface Reference specifies in more detail which functions are included in this license feature.

3.2 License Installation and Management

There are three possibilities to pass the license key to the application:

1. The license key is installed using the GUI tool (graphical user interface). This is the easiest way if the licenses are managed manually. It is only available on Windows.
2. The license key is installed using the shell tool. This is the preferred solution for all non-Windows systems and for automated license management.
3. The license key is passed to the application at run-time via the SetLicenseKey method. This is the preferred solution for OEM scenarios.

3.2.1 Graphical License Manager Tool

The GUI tool LicenseManager.exe is located in the bin directory of the product kit (Windows only).

![Graphical License Manager Tool](image)

List all installed license keys

The license manager always shows a list of all installed license keys in the left pane of the window. This includes licenses of other PDF Tools products. The user can choose between:

- Licenses available for all users. Administrator rights are needed for modifications.
- Licenses available for the current user only.

**Add and delete license keys**

License keys can be added or deleted with the “Add Key” and “Delete” buttons in the toolbar.
- The “Add key” button installs the license key into the currently selected list.
- The “Delete” button deletes the currently selected license keys.

**Display the properties of a license**

If a license is selected in the license list, its properties are displayed in the right pane of the window.

### 3.2.2 Command Line License Manager Tool

The command line license manager tool `licmgr` is available in the `bin\x86` and `bin\x64` directory.

**Note:** The command line tool licmgr is not included in Windows platform kits, as the GUI tool is the recommended tool for managing licenses. A Windows licmgr shell tool is available in the Utilities & Tools section of your My PDF Tools customer account.

A complete description of all commands and options can be obtained by running the program without parameters:

```
licmgr
```

**List all installed license keys**

```
licmgr list
```

The currently active license for a specific product is marked with a * on the left side.

**Example:**

```
>licmgr list
Local machine:
  Product Name:
    1-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX
    1-YYYYY-YYYYY-YYYYY-YYYYY-YYYYY-YYYYY-YYYYY
    * 1-ZZZZZ-ZZZZZ-ZZZZZ-ZZZZZ-ZZZZZ-ZZZZZ-ZZZZZ
Current user:
```

**Add and delete license keys**

Install new license key:

```
licmgr store 1-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX
```
Delete old license key:

```
licmgr delete 1-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX
```

Both commands have the optional argument `-s` that defines the scope of the action:

- `g`  For all users
- `u`  Current user

**Display the properties of a license**

```
licmgr info 1-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX
```

Properties that invalidate the license are marked with an X, properties that require attention are marked with an !. In that case an additional line with a comment is displayed.

**Example:**

```
>licmgr info 1-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX
- Key:          1-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX
- Product:      Product Name
- Features:     Feature1,Feature2
- Intended use: Development
- Watermark:    No
- Platform:     Windows
- Installation: Yes
! Activation:   2018-05-07
               (The license has not yet been activated.)
- Expiration:   Does not expire
- Maintenance:  2019-04-27
```

### 3.3 License Selection and Precedence

#### 3.3.1 Selection

If multiple keys for the same product are installed in the same scope, only one of them can be active at the same time.

Installed keys that are not selected are not considered by the software!

**In the Graphical User Interface** use the check box on the left side of the license key to mark a license as selected.

**With the Command Line Interface** use the `select` subcommand:
3.3.2 Precedence

License keys are considered in the following order:

1. License key passed at runtime.
2. License selected for the current user
3. License selected for the current user (legacy key format)
4. License selected for all users
5. License selected for all users (legacy key format)

The first matching license is used, regardless whether it is valid or not.

3.4 Key Update

If a license property like the maintenance expiration date changes, the key can be update directly in the license manager.

**In the Graphical User Interface** select the license and press the button “Update Key” in the toolbar:

![Update Key in the toolbar](image)

**With the Command Line Interface** use the `update` subcommand:

```
licmgr update 1-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX
```

3.5 License activation

New licenses keys have to be activated (except for OEM licenses).

**Note:** Licenses that need activation have to be installed in the license manager and must not be passed to the component at runtime.

The license activation is tied to a specific computer. If the license is installed at user scope, the activation is also tied to that specific user. The same license key can be activated multiple times, if the license quantity is larger than 1.

Every license key includes a date, after which the license has to be activated, which is typically 10 days after the issuing date of the key. Prior to this date, the key can be used without activation and without any restrictions.

3.5.1 Activation

The License can be activated directly within the license manager. Every activation increases the activation count of the license by 1.
It is recommended to add a comment to the activation request which helps keeping track of all activations for a specific license key. In case of problems it also helps us providing support.

The comment is stored in the activation database as long as the license key remains activated. Upon deactivation it is deleted from the database immediately.

All activations and the corresponding comments can be examined using the **Load online properties** function of the license manager. The information is accessible to anyone with access to the license key.

**In the Graphical User Interface** select the license and press the button “Activate license” in the toolbar:

![Graphic]

It is recommended to add a comment to the activation request by using the subsequent dialog box.

**With the Command Line Interface** use the activate subcommand:

```
licmgr activate 1-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX
```

Note that the key has to be installed first.

It is recommended to add a comment to the activation request by using the `-c` or `-cd` option:

```
licmgr activate -cd 1-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX
licmgr activate -c "custom comment" 1-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX
```

### 3.5.2 Reactivation

The activation is tied to specific properties of the computer like the MAC address or host name. If one of these properties changes, the activation becomes invalid and the license has to be reactivated. A reactivation does **not** increase the activation count on the license.

The process for reactivation is the same as for the activation.

**In the Graphical User Interface** the button “Activate license” changes to “Reactivate license”:

![Graphic]

**With the Command Line Interface** the subcommand `activate` is used again:

```
licmgr activate 1-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX
```

### 3.5.3 Deactivation

To move a license to a different computer, it has to be deactivated first. Deactivation decreases the activation count of the license by 1.
The process for deactivation is similar to the activation process.

**In the Graphical User Interface** select the license and press the button “Deactivate license” in the toolbar:

With the Command Line Interface use the deactivate subcommand:

```
licmgr deactivate 1-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX
```

### 3.6 Proxy Setting

A proxy URL can be configured for computers that cannot access the internet without a web proxy.

**Note:** The proxy must allow connections via HTTP CONNECT to the server www.pdf-tools.com:443.

**In the Graphical User Interface** press the button “Settings” in the toolbar:

and enter the proxy URL in the respective field:

### 3.7 Offline Usage

The following actions in the license manager need access to the internet:

- License Activation
- License Reactivation
- License Deactivation
- Key Update

On systems without internet access, a three step process can be used instead, using a form on the PDF Tools website.

#### 3.7.1 First Step: Create a Request File

**In the Graphical User Interface** select the license and use the dropdown menu on the right side of the button in the toolbar:
With the Command Line Interface use the \(-fs\) option to specify the destination path of the request file:

```
licmgr activate -fs activation_request.bin 1-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX
```

**License Deactivation:** When saving the deactivation request file, the license is **deactivated immediately** and cannot be used any further. It can however only be activated again after completing the deactivation on the website.

### 3.7.2 Second Step: Use Form on Website

Open the following website in a web browser: http://www.pdf-tools.com/pdf20/en/mypdftools/licenses-kits/license-activation/ Upload the request by dragging it onto the marked area:

Upon success, the response will be downloaded automatically if necessary.

### 3.7.3 Third Step: Apply the Response File

**In the Graphical User Interface** select the license and use the dropdown menu on right side of the button in the toolbar:

With the Command Line Interface use the \(-fl\) option to specify the source path of the response file:

```
licmgr activate -fl activation_response.bin 1-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX
```
3.8 License Key Versions

As of 2018 all new keys will have the format 1-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX. Legacy keys with the old format 0-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX-XXXXX are still accepted for a limited time period.

For compatibility reasons, old and new version keys can be installed side by side and one key of each version can be selected at the same time. In that case, the software always uses the new version.

3.9 License Key Storage

Depending on the platform the license management system uses different stores for the license keys.

3.9.1 Windows

The license keys are stored in the registry:
- "HKLM\Software\PDF Tools AG" (for all users)
- "HKCU\Software\PDF Tools AG" (for the current user)

3.9.2 macOS

The license keys are stored in the file system:
- /Library/Application Support/PDF Tools AG (for all users)
- ~/Library/Application Support/PDF Tools AG (for the current user)

3.9.3 Unix/Linux

The license keys are stored in the file system:
- /etc/opt/pdf-tools (for all users)
- ~/.pdf-tools (for the current user)

Note: The user, group and permissions of those directories are set solely by the license manager tool. It may be necessary to change permissions to make the licenses readable for all users. Example:

```
chmod -R go+rwx /etc/opt/pdf-tools
```

3.10 Troubleshooting

3.10.1 License key cannot be installed

The license key cannot be installed in the license manager application. The error message is: "Invalid license format."

Possible causes:
- The license manager application is an older version that only supports the legacy key format.
Solution
Use a current version of the license manager application or use a license key in the legacy key format if available.

### 3.10.2 License is not visible in license manager

The license key was successfully installed previously but is not visible in the license manager anymore. The software is still working correctly.

**Possible causes:**
- The license manager application is an older version that only supports the legacy key format.

**Solution**
Use a current version of the license manager application.

### 3.10.3 License is not found at runtime

The license is not found at runtime by the software. The error message is: "No license key was set."

**Possible causes:**
- The license key is actually missing (not installed).
- The license key is installed but not selected in the license manager.
- The application is an older version that only supports the legacy key format, while the license key has the new license format.
- The software was not restarted after registering the license. Note that for web applications the webserver, and not merely the application, must be restarted.

**Solution**
Install and select a valid license key that is compatible with the installed version of the software or use a newer version of the software. The new license key format is supported starting with version 4.10.26.1

For compatibility reasons, one license key of each format can be selected at the same time.

### 3.10.4 Eval watermark is displayed where it should not

The software prints an evaluation watermark onto the output document, even if the installed license is a productive one.

**Possible causes:**
- There is an evaluation license key selected for the **current user**, that takes precedence over the key for **all users**.

**Note:** The software might be run under a different user than the license manager application.

- An evaluation license key that is passed at runtime takes precedence over those selected in the license manager.
- There is an evaluation license key selected with a newer license format that takes precedence over the key in the older format.
- The software was not restarted after changing the license key from an evaluation key to a productive one.

**Solution**
Disable or remove all evaluation license in all scopes, check that no evaluation key is passed at runtime and restart the software.

### 3.10.5 Activation is not recognized

The license is installed and activated in the license manager, but the software does not recognize it as activated. The error message is: "The license has not been activated."

**Possible causes:**
- There is an unregistered license key selected for the current user, that takes precedence over the key for all users. This leads to an error even if the same license is registered for all users.

  **Note:** The software might be run under a different user than the license manager application.

- A license key that is passed at runtime takes precedence over those selected in the license manager. This leads to an error even if the same license is registered in the license manager.

  **Note:** Licenses that need activation have to be installed in the license manager and must not be passed to the component at runtime.

- The software was not restarted after activating the license.

**Solution**
Disable, remove or activate all unregistered licenses in all scopes, check that no key is passed at runtime and restart the software.

### 3.10.6 Activation is invalidated too often

The license activation is invalidated regularly, for no obvious reason.

**Possible causes:**
- One of the properties used to calculate the system fingerprint is changing frequently.

**Solution**
Update to a newer version of the PDF Tools product, deactivate the license key using the new license manager and activate it again. After that, an improved fingerprinting algorithm is used. Deactivation and activation have to be executed separately, a reactivation of the license in one step does not change the fingerprinting algorithm and thus does not solve the problem.
Note: After this procedure, older products might not recognize the activation as valid anymore. Reactivating the license using an old license manager will revert the activation to the old fingerprinting algorithm.

3.10.7 Connection to the licensing service fails

The license activation/deactivation/update fails because the license manager cannot reach the licensing server. The error message depends on the platform and the exact error condition.

Possible causes:
- The computer is not connected to the internet.
- The connection is blocked by a corporate firewall.

Solution

Make sure that the computer is connected to the internet and that the host `www.pdf-tools.com` is reachable on port 443 (HTTPS).

If this is not possible, try Offline Usage instead.

3.10.8 Offline usage fails due to a request/response mismatch

The offline license activation/deactivation/update fails because the response file does not match the request file. The error message is: "Mismatch between request and response."

Possible causes:
- The response file is applied to a different machine than the request file was created.
- The response file as applied to a different user than the request file was created.
- The response file was applied to a specific user while the request was created for all users, or vice versa.
- The response file is applied to the wrong license key.
- Another request file has been created between creating the request file and applying the response file.
- The license key was updated between creating the request file and applying the response file.
- The license key was removed and re-added between creating the request file and applying the response file.

Solution

Delete any old request and response files to make sure they are not used by accident.

Retry the entire process as outlined in chapter 3.7 and refrain from making any other license-related actions between creating the request file and applying the response file.

Make sure that the response file is applied to exactly the same license key in exactly the same location (machine, all users or specific user) where the request file was created.
4 Programming Interfaces

4.1 Visual Basic 6

After installing the 3-Heights™ PDF Validator API and registering the COM interface (see chapter Installation and Deployment), you find a Visual Basic 6 example PdfValidatorAPI.vbp in the directory samples/VB/. You can either use this sample as a base for an application, or you can start from scratch.

If you start from scratch, here is a quick start guide for you:

1. First create a new Standard-Exe Visual Basic 6 project. Then include the 3-Heights™ PDF Validator API component to your project.

2. Draw a new Command Button and optionally rename it if you like.

3. Double-click the command button and insert the few lines of code below. All that you need to change is the path of the file name.

   Example:

   ```vb
   Dim validator As New PDFVALIDATORAPILib.PDFValidator
   Dim err As PDFVALIDATORAPILib.PDFError
   ...
   validator.Open(file, "", ePDFA1b)
   validator.ReportingLevel = 2
   validator.Validate
   ...
   ```

4.2 .NET

There should be at least one .NET sample for MS Visual Studio available in the ZIP archive of the Windows version of the 3-Heights™ PDF Validator API. The easiest for a quick start is to refer to this sample.

In order to create a new project from scratch, do the following steps:

1. Start Visual Studio and create a new C# or VB project.

2. Add references to the .NET assemblies.
To do so, in the “Solution Explorer” right-click your project and select “Add Reference...”. The “Add Reference” dialog will appear. In the tab “Browse”, browse for the .NET assemblies libpdfNET.dll and PdfValidator-NET.dll. Add them to the project as shown below:

3. Import namespaces (Note: This step is optional, but useful.)
4. Write your code.

Steps 3 and 4 are shown separately for C# and Visual Basic.

4.2.1 Visual Basic

3. Double-click “My Project” to view its properties. On the left hand side, select the menu “References”. The .NET assemblies you added before should show up in the upper window. In the lower window import the namespaces Pdftools.Pdf and Pdftools.PdfValidate. You should now have settings similar as in the screenshot below:
4. The .NET interface can now be used as shown below:

**Example:**

```dim
Dim validator As New PdfValidator
Dim PDFVersion As PDFCompliance = PDFCompliance.ePDFA1b
Dim FileName, Password As String
...
validator.Open(FileName, Password, PDFVersion)
...
```

### 4.2.2 C#

3. Add the following namespaces:

**Example:**

```csharp
using Pdftools.Pdf;
using Pdftools.PdfValidate;
```
4. The .NET interface can now be used as shown below:

Example:

```csharp
using (PdfValidator validator = new PdfValidator())
{
    String FileName, Password;
    ...
    validator.Open(FileName, Password)
    ...
}
```

4.2.3 Deployment

This is a guideline on how to distribute a .NET project that uses the 3-Heights™ PDF Validator API:

1. The project must be compiled using Microsoft Visual Studio. See also .NET Interface.
2. For deployment, all items in the project’s output directory (e.g. bin\Release) must be copied to the target computer. This includes the 3-Heights™ PDF Validator API's .NET assemblies (*.NET.dll) as well as the native library (PdfValidatorAPI.dll) in its 32 bit or 64 bit version or both. The native library can alternatively be copied to a directory listed in the PATH environment variable, e.g. %SystemRoot%\System32.
3. It is crucial, that the native library PdfValidatorAPI.dll is found at execution time, and that the native library’s format (32 bit versus 64 bit) matches the operating system.
4. The output directory may contain multiple versions of the native library, e.g. for Windows 32 bit, Windows 64 bit, MacOS 64 bit, and Linux 64 bit. Only the versions that match the target computer’s operating system need be deployed.
5. If required by the application, optional DLLs must be copied to the same folder. See Deployment for a list and description of optional DLLs.

4.2.4 Troubleshooting: TypeInitializationException

The most common issue when using the .NET interface is that the correct native DLL PdfValidatorAPI.dll is not found at execution time. This normally manifests when the constructor is called for the first time and an exception of type System.TypeInitializationException is thrown.

This exception can have two possible causes, distinguishable by the inner exception (property InnerException):

- **System.DllNotFoundException** Unable to load DLL PdfValidatorAPI.dll: The specified module could not be found.
- **System.BadImageFormatException** An attempt was made to load a program with an incorrect format.

The following sections describe in more detail, how to resolve the respective issue.

**Troubleshooting: DllNotFoundException**

This means, that the native DLL PdfValidatorAPI.dll could not be found at execution time.

Resolve this by either:

- using the NuGet Package.
- adding PdfValidatorAPI.dll as an existing item to your project and set its property “Copy to output directory” to “Copy if newer”, or
- adding the directory where PdfValidatorAPI.dll resides to the environment variable %Path%, or
- manually copying PdfValidatorAPI.dll to the output directory of your project.

**Troubleshooting: BadImageFormatException**

The exception means, that the native DLL PdfValidatorAPI.dll has the wrong “bitness” (i.e. platform 32 vs. 64 bit). There are two versions of PdfValidatorAPI.dll available in the Zip Archive: one is 32-bit (directory bin\Win32) and the other 64-bit (directory bin\x64). It is crucial, that the platform of the native DLL matches the platform of the application's process.

(Using the NuGet Package normally ensures that the matching native DLL is loaded at execution time.)

The platform of the application's process is defined by the project's platform configuration for which there are 3 possibilities:

**AnyCPU**  This means, that the application will run as a 32-bit process on 32-bit Windows and as 64-bit process on 64-bit Windows. When using AnyCPU, then a different native DLL has to be used, depending on the Windows platform. This can be ensured either when installing the application by installing the matching native DLL, or at application start-up by determining the application's platform and ensuring the matching native DLL is loaded. The latter can be achieved by placing both the 32 bit and the 64 bit native DLL in subdirectories win-x86 and win-x64 of the application's directory respectively.

**x86**  This means, that the application will always run as 32-bit process, regardless of the platform of the Windows installation. The 32-bit DLL runs on all systems.

**x64**  This means, that the application will always run as 64-bit process. As a consequence the application will not run on a 32-bit Windows system.

### 4.3 Java

A Java sample validate.java is available which shows how to use the Java interface.

**Example:**

```java
import com.pdfTools.pdfvalidator.PdfValidatorAPI;
import com.pdfTools.pdfvalidator.PdfError;
...
PdfValidatorAPI doc = new PdfValidatorAPI();
doc.setReportingLevel(2);
doc.open(file, "", NativeLibrary.COMPLIANCE.ePDFA1b);
...
```

### 4.4 C

There is a C sample available within the software package of the evaluation and release version that shows how the C interface is used. Before the C interface can be used to create objects, it must be initialized once. This is done using PdfValidatorInitialize, to un-initialize use PdfValidatorUnInitialize. Other than that, equal call sequences as in other interface can be used.
Example:

```c
#include "pdfvalidatorapi_c.h"
TPdfValidator* pDocument;
TPdfValidatorError* pError;

PdfValidatorInitialize();
pDocument = PdfValidatorCreateObject();
PdfValidatorOpenA(pDocument, argv[1], ".", ePDFA1b)
PdfValidatorSetStopOnError(pDocument, 0);
PdfValidatorValidate(pDocument);
...
PdfValidatorUnInitialize();
```
5 User’s Guide

5.1 Overview of the API

5.1.1 What is the 3-Heights™ PDF Validator API about?

The 3-Heights™ PDF Validator API is a tool to validate existing PDF documents against a specification, such as the international standard ISO 19005-1 for PDF/A. The tool analyzes a PDF document and states whether it conforms to a specification or not. If a document fails to conform, the tool provides detailed information about why the validation failed. This consists of either a list of all validation errors, including a brief error description, the page number, the PDF object number, and number of occurrences, or a summary.

5.2 How does the API work in general?

The API requires as input a PDF document and the selection of a conformance level (e.g. PDF/A-1b).

1. The API opens a PDF document; at that point a conformance level must be selected.
2. The reporting level decides what errors types are reported later (none, errors only, errors + warnings, errors + warnings + information).
3. The document is validated against the selected specification.
4. A list of all errors can be retrieved after the validation.
5. The document is closed.

Below is a call sequence writing in Visual Basic .NET which illustrates this procedure:
Example:

```vbnet
dim validator as new pdfvalidator
validator.reportinglevel = ...
validator.open(...)
validator.validate()
dim pdferr as pdferror = validator.getfirsterror
while not (pdferr is nothing)
    ' do something with pdferr, e.g. output pdferr.message
    pdferr = validator.getnexterror
end while
validator.close()
```

Please note that the call sequence above is a bit too simple. If you are using this API for the first time, it might be best to look at one of the provided samples to start with.

The 3-Heights™ PDF Validator API provides two ways to list conformance errors:

1. List all errors individually. This is the method used in the call sequence above. Every error can be listed including page number, PDF object, error code and error message. Multiple equal errors on the same page are merged and the number of occurrences is provided. This approach lists very detailed information, which is useful for a creator of the PDF document.

2. Instead of listing all errors individually, it is possible to summarize them in 19 generic categories. E.g. if in a PDF document all conformance errors are related to non-embedded fonts, only one category is listed. An end-user is most likely not interested in a detailed list, but instead only wants to know whether the validated document conforms or not. If additional information is not required, a summary is sufficient.

5.2.1 How to use the customized Extensions?

If you purchased a customized version of the 3-Heights™ PDF Validator API with customized features (such as validate if embedded images have a resolution within a given range), see additional documentation vala_custom_extensions_*.pdf.

5.3 What is PDF/A?


5.3.1 PDF/A-1

PDF/A-1 (ISO 19005-1) is based on PDF 1.4 (Acrobat 5). On top of PDF 1.4, it has additional requirements to keep the document self-contained and suitable for long-term archival. The most important are:

- Encryption may not be used
- If device-dependent color space (e.g. DeviceRGB, DeviceCMYK, DeviceGray) are used, a corresponding color profile must be embedded
- Fonts used for visible text must be embedded
- Transparency may not be used
5.3.2 PDF/A-2

PDF/A-2 is described in ISO 19005-2. It is based on ISO 32000-1, the standard for PDF 1.7. PDF/A-2 is meant as an extension to PDF/A-1. The second part shall complement the first part and not replace it. The most important differences between PDF/A-1 and PDF/A-2 are:

- The list of compression types has been extended by JPEG2000
- Transparent contents produced by graphic programs are allowed
- Optional contents (also known as layers) can be made visible or invisible
- Multiple PDF/A files can be bundled in one file (collection, package)
- The additional conformity level U (Unicode) allows for creating searchable files without having to fulfill the strict requirements of the conformity level A (accessibility)
- File size can be reduced using compressed object and XRef streams

Documents that contain features described above, in particular layers or transparency, should therefore be converted to PDF/A-2 rather than PDF/A-1.

5.3.3 PDF/A-3

PDF/A-3 is described in ISO 19005-3. It is based on ISO 32000-1, the standard for PDF 1.7. PDF/A-3 is an extension to PDF/A-2. The third part shall complement the second part and not replace it. The only two differences between PDF/A-2 and PDF/A-3 are:

- Files of any format and conformance may be embedded. Embedded files need not be suitable for long-term archiving.
- Embed files can be associated with any part of the PDF/A-3 file.

5.4 Error, Warning and Information

Error codes in the 3-Heights™ PDF Validator API are classified in three types. The meaning of these three types with respect to validation is described below:

5.4.1 Information

A message of type “information” describes a process step performed by the program. Examples:

- A hint about the next step that is going to be performed
- A detection that a document does not follow a recommendation of a specification

A message of type “information” does not indicate a problem or violation of a specification. No action is required.

5.4.2 Warning

A warning indicates a violation of the PDF/A specification. A typical warning is formatting error, such as a missing, but required entry or a prohibited entry. The document may still conform to PDF, but not to PDF/A.

A PDF document which raises warnings but no errors is likely to be recoverable e.g. using the 3-Heights™ PDF to PDF/A Converter. No critical data is missing. The document, even though not conforming to PDF/A is still worthwhile.

5.4.3 Error

An error indicates a violation of the PDF specification or a severe violation of the PDF/A specification. An error is more severe than a warning. A typical error is a corruption, such as missing or invalid data. A PDF document, which
raises an error, is likely to be not fully recoverable. Critical data might be missing. An error can sometimes be repaired using the 3-Heights™ PDF to PDF/A Converter. An error however often indicates that data is missing. Depending on the type of data, a PDF to PDF/A converter may or may not be able to restore the data adequately. Examples:

- Repairable: If a color profile is missing or invalid, it can be replaced by a new, correct color profile.
- Not repairable: If image data is missing, the image data cannot be repaired, it must be retrieved from the original image.

5.5 Custom Validation Profiles

In addition to checking documents' conformance to the PDF Reference and PDF ISO standards, the 3-Heights™ PDF Validator API can ensure conformance to custom corporate directives. Custom checks are defined in a configuration file and activated using the method `SetProfile`, `SetProfileMem`, `SetProfileStream`.

The format of the configuration file follows the INI file syntax. By default, all custom checks are deactivated, so all custom checks must be enabled explicitly. All lines starting with a semicolon ";" are ignored.

5.5.1 [File] INI-File Section

**FileSize1**

**Key:** FileSize1
**Error code:** CHK_E_FILESIZE1

Define the maximum allowed file size in megabytes.

**Example:** Set allowed file size to 100 MB.

```ini
[File]
FileSize1=100
```

**FileSize2**

**Key:** FileSize2
**Error code:** CHK_E_FILESIZE2

Define a second limit for the maximum allowed file size in megabytes. If `FileSize2` is specified, it must be larger than the value of `FileSize1`. If a file's size is larger than `FileSize2`, the error `CHK_E_FILESIZE2` is raised, else if the size is larger than `FileSize1`, CHK_E_FILESIZE1 is raised.

**Example:** Set allowed file size to 200 MB.

```ini
[File]
FileSize2=200
```
MaxPdfVersion

**Key:** MaxPdfVersion  
**Error code:** CHK_E_MAXPDFVERS

The highest PDF version a document may have is defined by the setting `MaxPdfVersion`. The argument is a period-separated value with a major version, a minor version and an optional extension level.

**Example:** Set maximum allowed PDF version to PDF 1.4 (Acrobat 5).

```
[File]
MaxPdfVersion=1.4
```

**Example:** Set the maximum allowed PDF version to PDF 1.7, extension level 3 (Acrobat 9).

```
[File]
MaxPdfVersion=1.7.3
```

MinPdfVersion

**Key:** MinPdfVersion  
**Error code:** CHK_E_MINPDFVERS

The setting `MinPdfVersion` sets the minimum PDF version the document must have. The usage is equivalent to `MaxPdfVersion`.

**Example:** The following setting requires the document under test to be at least PDF 1.3 and no higher than PDF 1.6.

```
[File]
MinPdfVersion=1.3
MaxPdfVersion=1.6
```

Encryption

**Key:** Encryption  
**Error code:** CHK_E_ENCRYPTION

Check whether or not the file is encrypted.

**true** Raise error if file is not encrypted.
**false**  Raise error if file is encrypted.

**Example:**  Dis-allow encrypted files.

```
[File]
Encryption=false
```

### Linearization

**Key:** Linearization

**Error code:** CHK_E_LINEARIZATION

Check whether or not the file is linearized.

**true**  Raise error if file is not linearized.

**false**  Raise error if file is linearized.

**Example:**  Dis-allow linearized files.

```
[File]
Linearization=false
```

### NonFilters, NonFilter<i> (Non-Approved Filters)

**Key:** NonFilters

**Key:** NonFilter<i>

**Error code:** CHK_E_FILTER

Non-approved stream filters are defined by setting NonFilters=<n>, where <n> is the count of non-approved stream filters, i.e. a value larger than 0. The names of the filters are defined using NonFilter<i>=<Name i> where <i> is an index ranging from 1 to <n>. Possible values for <Name i> are the PDF filters:

- ASCIIHexDecode
- ASCII85Decode
- LZWDecode
- FlateDecode
- RunLengthDecode
- CCITTFaxDecode
- JBIG2Decode
- DCTDecode
- JPXDecode

**Example:**  Disallow JPEG2000 compressed images:

```
[File]
NonFilters=1
NonFilter1=JPXDecode
```
5.5.2 [Document] INI-File Section

**NonCreators, NonCreator< i > (Non-Approved PDF Creators)**

- **Key:** NonCreators
- **Key:** NonCreator< i >
- **Error code:** CHK_E_CREATOR

Non-approved PDF creators are defined by setting NonCreator< n >, where < n > is the count of non-approved creators, i.e. a value larger than 0. The names of the creators are defined using NonCreator< i >=< Name i >, where < i > is an index ranging from 1 to < n > and < Name i > is the name of the non-approved PDF creator.

**Example:** A list of non-approved PDF creators can be defined like this:

```
[Document]
NonCreators=2
NonCreator1=pdf fools
NonCreator2=badpdfcreator
```

**NonProducers, NonProducer< i > (Non-Approved PDF Producers)**

- **Key:** NonProducers
- **Key:** NonProducerX
- **Error code:** CHK_E_PRODUCER

Non-approved PDF producers are defined similar to non-approved PDF creators.

**Example:** A list of non-approved PDF producers can be defined like this:

```
[Document]
NonProducers=1
NonProducer1=pdf fools
```

**EmbeddedFiles, EmbeddedFile< i > (Allowed Embedded File Types)**

- **Key:** EmbeddedFiles
- **Key:** EmbeddedFileX
- **Error code:** CHK_E_EFTYPE

List of allowed embedded file types. Wild cards are supported at the beginning or the end of the string.

**Example:** Allow embedded PDF files and job options only.

```
[Document]
EmbeddedFiles=2
EmbeddedFile1=*.pdf
```
**ProhibitEmbeddedFiles**

| Key: ProhibitEmbeddedFiles | Error code: CHK_E_EF |

Use the option ProhibitEmbeddedFiles to check for embedded files.

- **true**: Raise error if document contains embedded files.
- **false**: Do not check for embedded files.

**Example**: Disallow embedded files.

```
[Document]
ProhibitEmbeddedFiles=true
```

### 5.5.3 [Pages] INI-File Section

**PageSizes, PageSize<i>** (Approved Page Sizes)

| Key: PageSizes |
| Key: PageSize<i> |

| Error code: CHK_E_PAGESIZE |

Approved page sizes are specified by setting `PageSizes=<n>`, where `<n>` is the count of page sizes, i.e. a value larger than 0. Sizes are defined using `PageSize<i>=<Size i>`, where `<i>` is an index ranging from 1 to `<n>` and `<Size i>` is one of the following size specifications:

- **Letter**: US Letter page 8.5 x 11 in.
- **A<k>**: A series international paper size standard A0 to A10.
- **DL**: DIN long paper size 99 x 210 mm.
- `<w> x <h> <uu>`: Arbitrary page size of width `<w>`, height `<h>` measured in units `<uu>`. Supported units are in, pt, cm, and mm.

The tolerance used for size comparison is 3 points (3/72 inch, approximately 1 mm), unless the key `SizeTolerance` (Tolerance for Page Size Comparison) is specified.

**Example**:

```
[Pages]
PageSizes=4
PageSize1=A0
PageSize2=A3
```
PageSize3 = 15.53 x 15.35 in
PageSize4 = 181 x 181 mm

**PageSize3**

**PageSize4**

### SizeTolerance (Tolerance for Page Size Comparison)

**Key:** SizeTolerance

Tolerance used for page size comparison.

**Percentage** Proportional difference, e.g. `SizeTolerance = 10%`.

**Absolute Value** Absolute difference in points (1/72 inch), e.g. `SizeTolerance = 72` allows 1 inch.

The tolerance used for size comparison is 3 points (3/72 inch), unless the key `SizeTolerance` is specified.

**Example:** Allow a tolerance of 10%.

```
[Pages]
SizeTolerance = 10%
```

### EmptyPage

**Key:** EmptyPage

Error code: CHK_E_EMTPYPAGE

Use the key `EmptyPage` to disallow empty pages. A page is considered empty, if no graphic objects are drawn onto it.

**true** Raise error if page is not empty.

**false** Raise error if page is empty.

**Example:** Raise error CHK_E_EMTPYPAGE, if document contains an empty page.

```
[Pages]
EmptyPage = false
```

### MaxPageSize

**Key:** MaxPageSize

Error code: CHK_E_MAXPAGESIZE

Use the key `MaxPageSize` to disallow pages exceeding the specified size in any dimension. The tolerance for size comparison is specified by the key `SizeTolerance`. Both portrait and landscape variants of `MaxPageSize` are allowed.
See description of `PageSize` for a description of supported page size formats.

**Example:** Raise error `CHK_E_MAXPAGESIZE`, if document contains a page larger than A4.

```ini
[Pages]
MaxPageSize=A4
```

**RequirePageResources**

<table>
<thead>
<tr>
<th>Key:</th>
<th>RequirePageResources</th>
</tr>
</thead>
<tbody>
<tr>
<td>Error code:</td>
<td>CHK_E_PAGERESOURCES</td>
</tr>
</tbody>
</table>

Test if pages contain an explicitly associated resource dictionary.

**true**  
Raise error if page does not have resource dictionary.

Note that it is allowed for a page to not have an explicitly associated resource dictionary, if it is inherited from the pages tree. The 3-Heights™ PDF Validator API always validates that all pages have a resource dictionary.

**Example:** Raise error `CHK_E_PAGERESOURCES`, if document contains a page without a resource dictionary.

```ini
[Pages]
RequirePageResources=false
```

### 5.5.4 [Graphics] INI-File Section

**ImageMaxDPI (Maximum Resolution of Images)**

<table>
<thead>
<tr>
<th>Key:</th>
<th>ImageMaxDPI</th>
</tr>
</thead>
<tbody>
<tr>
<td>Error code:</td>
<td>CHK_E_IMGMAXDPI</td>
</tr>
</tbody>
</table>

Use `ImageMaxDPI` to set maximum allowed resolution in DPI (dots per inch) for all images.

**Example:** Set the maximum allowed resolution to 602 DPI.

```ini
[Graphics]
ImageMaxDPI=602
```

**ImageMinDPI (Minimum Resolution of Images)**

<table>
<thead>
<tr>
<th>Key:</th>
<th>ImageMinDPI</th>
</tr>
</thead>
<tbody>
<tr>
<td>Error code:</td>
<td>CHK_E_IMGMINDPI</td>
</tr>
</tbody>
</table>

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Use **ImageMinDPI** to set minimum allowed resolution in DPI (dots per inch) for all images.

**Example:** Embedded images must have a resolution from 148 to 152 DPI.

```
[Graphics]
ImageMinDPI=148
ImageMaxDPI=152
```

**ScanMaxDPI (Maximum Resolution of Scanned Images)**

**Key:** ScanMaxDPI  
**Error code:** CHK_E_SCANMAXDPI

Use **ScanMaxDPI** to set maximum allowed resolution in DPI (dots per inch) for scanned images. All images that cover a majority of the page are classified as scanned images.

**Example:** Set the maximum allowed resolution to 602 DPI.

```
[Graphics]
ScanMaxDPI=602
```

**ScanMinDPI (Minimum Resolution of Scanned Images)**

**Key:** ScanMinDPI  
**Error code:** CHK_E_SCANMINDPI

Use **ScanMinDPI** to set minimum allowed resolution in DPI (dots per inch) for scanned images.

**Example:** Embedded images must have a resolution from 148 to 152 DPI.

```
[Graphics]
ScanMinDPI=148
ScanMaxDPI=152
```

**ScanColor (Color for Scanned Images)**

**Key:** ScanColor  
**Error code:** CHK_E_SCANCLR

If you do not want to allow color scans, use the option **ScanColor**.

**true** Raise error if scanned image does not contain color.
false  Raise error if scanned image does contain color.

Example:  If you want to dis-allow color scans.

[Graphics]
ScanColor=false

OCRText

Key:  OCRText
Error code:  CHK_E_OCRTEXT

Test, if scanned images have OCR text, i.e. if the file is word searchable.

true  Raise error if scanned image has no OCR text (i.e. file is not word searchable).

false  Raise error if scanned image has OCR text (i.e. file is word searchable).

Example:  Raise an error, if an image has no OCR text.

[Graphics]
OCRText=true

ProhibitColor

Key:  ProhibitColor
Error code:  CHK_E_CLRUSED

If you only want to allow black and white, use the option ProhibitColor.

true  Raise error if page contains color.

false  Do not check for color.

Example:

[Graphics]
ProhibitColor=true

ProhibitTransparency

Key:  ProhibitTransparency
Error code:  CHK_E_TRANSPARENCYUSED

**true**  Raise error if page contains transparency.

**false**  Do not check for transparency.

**Example:**

```
[Graphics]
ProhibitTransparency=true
```

**Layers**

**Key:**  Layers  
**Error code:**  CHK_E_LAYERS

Use the key Layers to disallow layers.

**true**  Raise error if document contains no layers.

**false**  Raise error if document contains layers.

**Example:**  Raise error CHK_E_LAYERS, if document contains layers.

```
[Graphics]
Layers=false
```

**HiddenLayers**

**Key:**  HiddenLayers  
**Error code:**  CHK_E_HIDDENLAYERS

Use the key HiddenLayers to disallow hidden layers.

**true**  Raise error if document contains no hidden layers.

**false**  Raise error if document contains hidden layers.

**Example:**  Raise error CHK_E_HIDDENLAYERS, if document contains hidden layers.

```
[Graphics]
HiddenLayers=false
```
5.5.5 [Fonts] INI-File Section

There are two ways of restricting the allowed fonts used in the validated document. Either every font that is approved is explicitly white-listed or every font that is not approved is black-listed. Most appropriately only one of the two settings is used at once.

**Fonts, Font<i> (Approved Fonts)**

<table>
<thead>
<tr>
<th>Key:</th>
<th>Fonts</th>
</tr>
</thead>
<tbody>
<tr>
<td>Key:</td>
<td>Font&lt;i&gt;</td>
</tr>
<tr>
<td>Error code:</td>
<td>CHK_E_FONT</td>
</tr>
</tbody>
</table>

Restrict the approved fonts to a defined set of fonts. The number of approved fonts is set by Fonts=<n>, where <n> is a number larger than 0. The names of the approved fonts are listed using Font<i>=<fontname i>, where <i> is an index ranging from 1 to <n> and <fontname i> is a font name. Wild cards are supported. Font styles are defined by adding a command and the style after the font family name.

**Example:** A list of approved fonts can be defined like this:

```
[Fonts]
Fonts=163
Font1=AdvC39b
Font2=AdvC39b
Font3=AdvHC39b
Font4=AdvHC39b
Font5=Arial
Font6=Arial,Bold
...
Font163=ZapfDingbats
```

**NonFonts, NonFont<i> (Non-Approved Fonts)**

<table>
<thead>
<tr>
<th>Key:</th>
<th>NonFonts</th>
</tr>
</thead>
<tbody>
<tr>
<td>Key:</td>
<td>NonFont&lt;i&gt;</td>
</tr>
<tr>
<td>Error code:</td>
<td>CHK_E_FONT</td>
</tr>
</tbody>
</table>

A list of non-approved fonts can be defined, wild cards are supported.

**Example:**

```
[Fonts]
NonFonts=4
NonFont1=MSTT*
NonFont2=T1*
NonFont3=T2*
NonFont4=T3*
```
Subsetting

**Key:** Subsetting  
**Error code:** CHK_E_FNTSUB

Subsetting a font means only those glyphs are embedded in the font program, which are actually used. Subsetting is mainly used to keep the file size small. The setting Subsetting can be used to test the subsetting of embedded fonts.

**true**  Raise error if embedded font is not subset.

**false**  Raise error if embedded font is subset.

**Example:**  Require all fonts to be subset.

```
[Fonts]
Subsetting=true
```

NonStdEmbedded

**Key:** NonStdEmbedded  
**Error code:** CHK_E_FNTEMB

The setting NonStdEmbedded can be used to test the embedding of non-standard fonts.

**true**  Raise error if non-standard font is not embedded.

**false**  Raise error if non-standard font is embedded.

**Example:**  Require all non-standard fonts to be embedded.

```
[Fonts]
NonStdEmbedded=true
```

Embedding, EmbeddingExcFonts, EmbeddingExcFont<i> (Embedding of Fonts)

**Key:** Embedding  
**Key:** EmbeddingExcFonts  
**Key:** EmbeddingExcFont<i>  
**Error code:** CHK_E_FNTEMB

The setting Embedding can be used to test the embedding of fonts that are used for rendering. The keys EmbeddingExcFonts and EmbeddingExcFont<i> define a list of fonts exempt from the test.

**true**  Raise error if a font is neither embedded nor in the list of exceptions.

**false**  Raise error if a font is embedded and not in the list of exceptions.
Note that this test works independently of **NonStdEmbedded**.

**Example:** Require all fonts except “Albertus” and “Courier” to be embedded.

```
[Fonts]
Embedding=true
EmbeddingExcFonts=2
EmbeddingExcFont1=Albertus*
EmbeddingExcFont2=Courier*
```

### 5.5.6 [Interactive Features] INI-File Section

**Annotations, Annotation<i> (Approved Annotations)**

- **Key:** Annotations
- **Key:** Annotation<i>
- **Error code:** CHK_E_ANNOTATION

Set a list of approved annotations.

**Example:** Allow form fields (Widget annotations) and links (Link annotations) only.

```
[Interactive Features]
Annotations=2
Annotation1=Widget
Annotation2=Link
```

**NonActions, NonAction<i> (Non-Approved Actions)**

- **Key:** NonActions
- **Key:** NonAction<i>
- **Error code:** CHK_E_ACTION

Set a list of non-approved actions.

**Example:** Disallow URI-Actions.

```
[Interactive Features]
NonActions=1
NonAction1=URI
```

### 5.5.7 [Digital Signatures] INI-File Section

**Provider**

- **Key:** Provider
In order to use the signature validation feature of the 3-Heights™ PDF Validator API, a cryptographic provider is required. The cryptographic provider implements cryptographic algorithms. If signature validation is active but no valid cryptographic provider is configured, the 3-Heights™ PDF Validator API does not start validation and aborts with a return code 3.

The following cryptographic providers are supported:

**PKCS#11 Provider**

The provider configuration string has the following syntax:

```
Provider=PathToDll;SlotId
```

- `PathToDll` is the path to driver library filename, which is provided by the manufacturer of the HSM, UBS token or smart card. The bitness of the DLL and the 3-Heights™ PDF Validator API must match. For more information and installation instructions see separate document TechNotePKCS11.pdf.

**Example:**
- `openCryptoki` soft store on Linux uses `libopencryptoki.so`
- PKCS#11 soft-token on Solaris `libpkcs11.so`
- `SlotId` is optional, if it is not defined, it is searched for the first slot that contains a token.

**Windows Cryptographic Provider**

This provider uses Windows infrastructure to access certificates and to supply cryptographic algorithms. Microsoft Windows offers two different APIs, the Microsoft CryptoAPI and Cryptography API Next Generation (CNG). The latter is used if the operating system is at least Windows Vista or Windows Server 2008.

The provider configuration string has the following syntax:

```
Provider=[ProviderType:]:Provider
```

The `<ProviderType>` is optional. An empty `<Provider>` uses the default provider. If CNG is available, `<ProviderType>` and `<Provider>` are both optional.

**Example:**
- `Provider=`
  - The default provider is suitable for all systems where CNG is available.
- `Provider=Microsoft Base Cryptographic Provider v1.0`
- `Provider=PROV_RSA_AES:Microsoft Enhanced RSA and AES Cryptographic Provider`
  - The Microsoft CryptoAPI provider type `PROV_RSA_AES` supports the SHA-2 hash algorithms for signature validation. This provider type is recommended in order to validate signatures if neither a PKCS#11 device nor CNG are available.

**Example:** Use `openCryptoki` to validate signatures. Note that `openCryptoki` must be installed and the exact location of the PKCS#11 dll depends on your `openCryptoki` installation.

```plaintext
[Digital Signatures]
Provider=/usr/lib64/opencryptoki/libopencryptoki.so
```

Validation of the following signature types is supported:
- `adbe.pkcs7.sha1`
- `adbe.pkcs7.detached`
Terminate method: If signature validation is activated, the **Terminate** method must be called before application shutdown.

ValidateNewest (Validate Newest Signature)

<table>
<thead>
<tr>
<th>Key: ValidateNewest</th>
</tr>
</thead>
<tbody>
<tr>
<td>Error code: CHK_E_SIGVAL</td>
</tr>
</tbody>
</table>

Validate the newest signature of the document. Also see the keys **Provider** and **Criteria, Criterion<1>** *(Signature Validation Criteria)*.

**Example:** Validate the newest signature using openCryptoki.

```plaintext
[Digital Signatures]
ValidateNewest=true
Provider=libopencryptoki.so
Criteria=1
Criterion1=Verification
```

Criteria, Criterion<1> (Signature Validation Criteria)

| Key: Criteria |
| Key: Criterion<1> |

List of signature validation criteria. Currently supported are:

- **Verification** The signature can be verified, i.e. the cryptographic message syntax (CMS) is correct and the document has not been modified.
- **EntireDoc** Require that the document has not been updated after the newest signature.
- **Visible** Signature must be visible.

**Example:** see key **ValidateNewest (Validate Newest Signature)**.

### 5.6 Error Handling

Most methods of the 3-Heights™ PDF Validator API can either succeed or fail depending on user input, state of the PDF Validator API, or the state of the underlying system. It is important to detect and handle these errors, to get accurate information about the nature and source of the issue at hand.

Methods communicate their level of success or failure using their return value. Which return values have to be interpreted as failures is documented in the chapter Interface Reference. To identify the error on a programmatic level, check the property **ErrorCode**. The property **ErrorMessage** provides a human readable error message, describing the error.
Example:

```csharp
public Boolean Open(string file, string password)
{
    if (!validator.Open(file, password))
    {
        if (validator.ErrorCode == PDFErrorCode.PDF_E_PASSWORD)
        {
            password = InputBox.Show("Password incorrect. Enter correct password:");
            return Open(file, password);
        }
        else
        {
            MessageBox.Show(String.Format(
                "Error {0}: {1}", validator.ErrorCode, validator.ErrorMessage));
            return false;
        }
    }
    [...]
6 Interface Reference

6.1 PDFValidator Interface

6.1.1 Categories

Note: This manual describes the COM interface only. Other interfaces (C, Java, .NET) however work similarly, i.e. they have calls with similar names and the call sequence to be used is the same as with COM.

Property (get): Long Categories

Instead of a detailed report using GetLastError and GetNextError there is the alternative to report a summary. The summary consists of 19 possible messages (see property CategoryText). If any violation is detected at least once, it is reported once. The value of the property Categories is accessed and used after the validation. It returns a number in which each bit represents one of these 19 messages. The textual value for each bit can be retrieved using CategoryText.

6.1.2 CategoryText

Method: String CategoryText(TPDFConformanceCategory iCategory)

Return a textual description for each of the 19 summary messages. The messages are described in the chapter TPDFConformanceCategory.

Parameter:

iCategory [TPDFConformanceCategory] The conformance category for which the description shall be retrieved.

6.1.3 Close

Method: Boolean Close()

Close an opened input file. If the document is already closed the method does nothing.

Returns:

True The file was closed successfully.
6.1.4 Compliance

**Property (get):** TPDFCompliance Compliance

This property indicates the conformance used to validate the currently opened document. This is usually the same value as provided in the `Open`, `OpenMem`, `OpenStream` method (unless `ePDFUnk` was supplied). This property must be read after `Open`, `OpenMem`, `OpenStream`. It is no longer meaningful after a call to `Close`.

6.1.5 ErrorCode

**Property (get):** TPDFErrorCode ErrorCode

This property can be accessed to receive the latest error code. This value should only be read if a function call on the PDF Validator API has returned a value, which signifies a failure of the function (see chapter Error Handling). See also enumeration `TPDFErrorCode`. PDF-Tools error codes are listed in the header file `bseerror.h`. Please note that only few of them are relevant for the 3-Heights™ PDF Validator API.

6.1.6 ErrorMessage

**Property (get):** String ErrorMessage

Return the error message text associated with the last error (see property ErrorCode). This message can be used to inform the user about the error that has occurred. This value should only be read if a function call on the PDF Validator API has returned a value, which signals a failure of the function (see chapter Error Handling).

*Note:* Reading this property if no error has occurred, can yield `Nothing` if no message is available.

6.1.7 GetFirstError

**Method:** PdfError GetFirstError()

This method returns the first error, it can also be a warning.

**Returns:**

The first error if there are any. Nothing otherwise.
6.1.8 GetNextError

**Method:** PdfError GetNextError()

This method returns the next error, it can also be a warning.

**Returns:**
The next error if there is any. Nothing otherwise.

6.1.9 LicenseIsValid

**Property (get):** Boolean LicenseIsValid

Check if the license is valid.

6.1.10 NoTempFiles

**Property (get, set):** Boolean NoTempFiles

Default: False

If set to True, the Validator will not create any temporary files. If set to False, temporary files might be created, e.g. for embedded files. Use this option with care, because if set to True this might increase memory consumption significantly.

6.1.11 Open, OpenMem, OpenStream

**Method:** Boolean Open(String FileName, String Password, TPDFCompliance Compliance)

**Method:** Boolean OpenMem(Variant MemoryBlock, String Password, TPDFCompliance Compliance)

**Method:** Boolean OpenStream(Variant Stream, String Password, TPDFCompliance Compliance)

Open a PDF file. If another document is already open, it is closed first.

**Parameters:**

**FileName [String]** The file name and optionally the file path, drive or server string according to the operating systems file name specification rules.

- Path: e.g. c:\data\document.pdf
- HTTP URL in the following form:
http://[‹username›:‹password›@[domain][[:port]][/‹resource›]]
where ‹username› and ‹password› are used for HTTP basic authentication. Example:
http://myself:secret@site.com:988/documents
- HTTPS URL: URL beginning with https://
- FTP URL: URL beginning with ftp://

**Password** [String] The user or the owner password of the encrypted PDF document.

**Compliance** [TPDFCompliance] The conformance level, see enumeration TPDFCompliance. If ePDFUnk is passed, the validator determines the claimed conformance of the document. The determined conformance can be read using the property Compliance and will be used in the Validate method. Note that the claimed conformance is not limited to a version of PDF/A.

**Returns:**

**True** The file could successfully be opened.

**False** The file does not exist, it is corrupt, or the password is not valid. Use the property ErrorCode for additional information.

### 6.1.12 ProductVersion

<table>
<thead>
<tr>
<th>Property (get):</th>
<th>String</th>
<th>ProductVersion</th>
</tr>
</thead>
</table>

Get the version of the 3-Heights™ PDF Validator API in the format “A.C.D.E”.

### 6.1.13 ReportingLevel

<table>
<thead>
<tr>
<th>Property (get, set):</th>
<th>Integer</th>
<th>ReportingLevel</th>
</tr>
</thead>
<tbody>
<tr>
<td>Default:</td>
<td>3</td>
<td></td>
</tr>
</tbody>
</table>

With this property the reporting level can be set or got. The supported levels are:

<table>
<thead>
<tr>
<th></th>
<th>none</th>
<th>Nothing is reported</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1</td>
<td>errors</td>
<td>Errors are reported</td>
</tr>
<tr>
<td>2</td>
<td>warnings</td>
<td>Errors and warnings are reported</td>
</tr>
<tr>
<td>3</td>
<td>information</td>
<td>Error, warnings and information are reported</td>
</tr>
</tbody>
</table>

The property ReportingLevel must be set before the Open, OpenMem, OpenStream method in order to be applied.
6.1.14 SetLicenseKey

**Method**: Boolean `SetLicenseKey(String LicenseKey)`

Set the license key.

6.1.15 SetProfile, SetProfileMem, SetProfileStream

**Method**: Boolean `SetProfile(String FileName)`  
  License feature: Custom

**Method**: Boolean `SetProfileMem(Variant MemoryBlock)`  
  License feature: Custom

**Method**: Boolean `SetProfileStream(Variant Stream)`  
  License feature: Custom

Set custom profile to validate conformance to corporate directives. See section Custom Validation Profiles for more information on features and configuration file format.

**Parameter**:

**FileName** [String] The file name of the profile configuration file. Set `FileName` to `Nothing` or the empty string in order to remove the active profile.

**Returns**:

**True** Profile was set successfully.

**False** Error setting Profile. Consult the properties `ErrorCode` and `ErrorMessage` for more information on the cause.

6.1.16 StopOnError

**Property (get, set)**: Boolean `StopOnError`  
  Default: False

If set to true, the method `Validate` will abort on the first validation error; i.e. the validation process will stop (error `PDF_E_STOPPED`) as soon as a problem is found that makes the file non-conforming. This speeds up the validation of non-conforming files.

This property must always be set after `Open`, `OpenMem`, `OpenStream`. It is set to `False` after a call to `Close`.

6.1.17 Terminate

**Method**: Void `Terminate()`
Terminate all open sessions, and finalize and unload all PKCS#11 drivers. Calling `Terminate` is mandatory, if in the custom validator profile a PKCS#11 device is configured for signature validation (key `Provider`). Some drivers require `Terminate` to be called. Otherwise, your application might crash and/or your HSM, USB token, or smart card might not be unlocked.

Make sure to end all open sessions and dispose of all PDFValidator objects before calling `Terminate`. After calling `Terminate`, the process may not call any other methods of this class.

When using the C interface, `Terminate` may not be called from the context of the destructor of a global or static object, an `atexit()` handler, nor the `DllMain()` entry point.

### 6.1.18 Validate

**Method:** `Boolean Validate()`

This method starts the validation. It aborts after the first error if `StopOnError` is set to true.

**Returns:**

- **True**  The validation finished successfully and the file conforms to the requested standard.
- **False** The validation was aborted (e.g. because an error was found and `StopOnError` is set to `True`) or the file does not conform to the requested standard.

The document conforms to the requested standard, if `Validate` returns `True`.

### 6.1.19 WriteFontValidationXML

**Method:** `Boolean WriteFontValidationXML(Stream outputStream)`

Write font validation information in XML format to a stream. This method must be called after `Validate` and before `Close`.

For more information on the structure of the resulting XML, see the XML schema `ValidatorFontInformation.xsd` and the stylesheet `ValidatorFontInformation.xsl` in the documentation directory. The latter can be used to view the resulting XML in a web browser, if both files are contained in the same directory.

**Parameter:**

- `outputStream`  `[Stream]` The stream the font validation information is written to.

**Returns:**

- **True**  The font information has been written successfully.
- **False** Otherwise.
6.2 PdfError Interface

6.2.1 Count

<table>
<thead>
<tr>
<th>Property (get):</th>
<th>Long Count</th>
</tr>
</thead>
</table>

This property returns how many times the error occurs on the page.

6.2.2 ErrorCode

<table>
<thead>
<tr>
<th>Property (get):</th>
<th>TPDFErrorCode ErrorCode</th>
</tr>
</thead>
</table>

This property can be accessed to receive the latest error code. This value should only be read if a function call on the PDF Validator API has returned a value, which signals a failure of the function (see chapter Error Handling). See also enumeration TPDFErrorCode. PDF-Tools error codes are listed in the header file bseerror.h. Please note that only few of them are relevant for the 3-Heights™ PDF Validator API.

6.2.3 Message

<table>
<thead>
<tr>
<th>Property (get):</th>
<th>String Message</th>
</tr>
</thead>
</table>

This property returns an explaining error message.

6.2.4 ObjectNo

<table>
<thead>
<tr>
<th>Property (get):</th>
<th>Long ObjectNo</th>
</tr>
</thead>
</table>

This property returns the object number at which the error occurs. If the error is not related to a particular object, 0 is returned.

6.2.5 PageNo

<table>
<thead>
<tr>
<th>Property (get):</th>
<th>Long PageNo</th>
</tr>
</thead>
</table>

This property returns the page number on which the error occurs. If the error is not related to a particular page number, 0 is returned.
6.3 Enumerations

Note: Depending on the interface, enumerations may have TPDF as prefix (COM, C) or PDF as prefix (.NET) or no prefix at all (Java).

6.3.1 TPDFErrorCode Enumeration

All TPDFErrorCode enumerations start with a prefix, such as PDF_, followed by a single letter which is one of S, E, W or I, an underscore and a descriptive text.

The single letter gives an indication of the severity of the error. These are: Success, Error, Warning and Information. In general, an error is returned if an operation could not be completed. A warning is returned if the operation was completed, but problems occurred in the process. The classification of validation errors is described in more detail in chapter Error, Warning and Information.

A list of all error codes is available in the C API’s header file bseerror.h, the javadoc documentation of com.pdftools.NativeLibrary.ERRORCODE and the .NET documentation of Pdftools.Pdf.PDFErrorCode. Note that only a few are relevant for the 3-Heights™ PDF Validator API, most of which are listed here:

<table>
<thead>
<tr>
<th>TPDFErrorCode</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>PDF_S_SUCCESS</td>
<td>The operation was completed successfully.</td>
</tr>
<tr>
<td>LIC_E_NOTSET,</td>
<td>Various license management related errors.</td>
</tr>
<tr>
<td>LIC_E_NOTFOUND, ...</td>
<td></td>
</tr>
<tr>
<td>PDF_E_FILEOPEN</td>
<td>Failed to open the file.</td>
</tr>
<tr>
<td>PDF_E_PASSWORD</td>
<td>The authentication failed due to a wrong password.</td>
</tr>
<tr>
<td>PDF_E_UNKSECHANDLER</td>
<td>The file uses a proprietary security handler, e.g. for a proprietary digital</td>
</tr>
<tr>
<td>PDF_E_XFANEEDSRENDERING</td>
<td>The file contains unrendered XFA form fields, i.e. the file is an XFA and not a PDF file.</td>
</tr>
<tr>
<td></td>
<td>The XFA (XML Forms Architecture) specification is referenced as an external document to ISO 32'000-1 (PDF 1.7) and has not yet been standardized by ISO. Technically spoken, an XFA form is included as a resource in a shell PDF. The PDF's page content is generated dynamically from the XFA data, which is a complex, non-standardized process. For this reason, XFA is forbidden by the ISO Standards ISO 19'005-2 (PDF/A-2) and ISO 32'000-2 (PDF 2.0) and newer.</td>
</tr>
<tr>
<td>PDF_E_INVCOMPLIANCE</td>
<td>Invalid or unsupported PDF conformance specified. Either the parameter's value is invalid or the parameter is ePDFUnk and the file does not specify a valid PDF conformance.</td>
</tr>
<tr>
<td>PDF_E_STOPPED</td>
<td>Validation aborted, e.g. because an error was found and StopOnError is set to True or because the input file is corrupt.</td>
</tr>
</tbody>
</table>
6.3.2 TPDFCompliance Enumeration

<table>
<thead>
<tr>
<th>TPDFCompliance</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>ePDF13</td>
<td>PDF version 1.3</td>
</tr>
<tr>
<td>ePDF14</td>
<td>PDF version 1.4 (corresponds to Acrobat 5)</td>
</tr>
<tr>
<td>ePDF15</td>
<td>PDF version 1.5</td>
</tr>
<tr>
<td>ePDF16</td>
<td>PDF version 1.6 (corresponds to Acrobat 7)</td>
</tr>
<tr>
<td>ePDF17</td>
<td>PDF version 1.7, ISO 32000-1</td>
</tr>
<tr>
<td>ePDF20</td>
<td>PDF version 2.0, ISO 32000-2</td>
</tr>
<tr>
<td>ePDFA1a</td>
<td>PDF/A 1a, ISO 19005-1, conformance level A</td>
</tr>
<tr>
<td>ePDFA1b</td>
<td>PDF/A 1b, ISO 19005-1, conformance level B</td>
</tr>
<tr>
<td>ePDFA2a</td>
<td>PDF/A 2a, ISO 19005-2, conformance level A</td>
</tr>
<tr>
<td>ePDFA2b</td>
<td>PDF/A 2b, ISO 19005-2, conformance level B</td>
</tr>
<tr>
<td>ePDFA2u</td>
<td>PDF/A 2u, ISO 19005-2, conformance level U</td>
</tr>
<tr>
<td>ePDFA3a</td>
<td>PDF/A 3a, ISO 19005-3, conformance level A</td>
</tr>
<tr>
<td>ePDFA3b</td>
<td>PDF/A 3b, ISO 19005-3, conformance level B</td>
</tr>
<tr>
<td>ePDFA3u</td>
<td>PDF/A 3u, ISO 19005-3, conformance level U</td>
</tr>
<tr>
<td>ePDFUnk</td>
<td>Validate claimed PDF conformance of input file</td>
</tr>
</tbody>
</table>

Note that only the values listed above are supported.

6.3.3 TPDFConformanceCategory Enumeration

<table>
<thead>
<tr>
<th>TPDFConformanceCategory</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>eConfFormat</td>
<td>The file format (header, trailer, objects, xref, streams) is corrupted.</td>
</tr>
<tr>
<td>eConfPDF</td>
<td>The document doesn’t conform to the PDF reference (missing required entries, wrong value types, etc.).</td>
</tr>
<tr>
<td>eConfEncrypt</td>
<td>The file is encrypted and the password was not provided.</td>
</tr>
<tr>
<td>--------------------</td>
<td>----------------------------------------------------------</td>
</tr>
<tr>
<td>eConfColor</td>
<td>The document contains device-specific color spaces.</td>
</tr>
<tr>
<td>eConfRendering</td>
<td>The document contains illegal rendering hints (unknown intents, interpolation, transfer and halftone functions).</td>
</tr>
<tr>
<td>eConfAlternate</td>
<td>The document contains alternate information (images).</td>
</tr>
<tr>
<td>eConfPostScript</td>
<td>The document contains embedded PostScript code.</td>
</tr>
<tr>
<td>eConfExternal</td>
<td>The document contains references to external content (reference XObjects, file attachments, OPI).</td>
</tr>
<tr>
<td>eConfFont</td>
<td>The document contains fonts without embedded font programs or encoding information (CMAPs).</td>
</tr>
<tr>
<td>eConfUnicode</td>
<td>The document contains fonts without appropriate character to Unicode mapping information (ToUnicode maps).</td>
</tr>
<tr>
<td>eConfTransp</td>
<td>The document contains transparency.</td>
</tr>
<tr>
<td>eConfAnnot</td>
<td>The document contains unknown annotation types.</td>
</tr>
<tr>
<td>eConfMultimedia</td>
<td>The document contains multimedia annotations (sound, movies).</td>
</tr>
<tr>
<td>eConfPrint</td>
<td>The document contains hidden, invisible, non-viewable or non-printable annotations.</td>
</tr>
<tr>
<td>eConfAppearance</td>
<td>The document contains annotations or form fields with ambiguous or without appropriate appearances.</td>
</tr>
<tr>
<td>eConfAction</td>
<td>The document contains actions types other than for navigation (launch, JavaScript, ResetForm, etc.)</td>
</tr>
<tr>
<td>eConfMetaData</td>
<td>The document's meta data is either missing or inconsistent or corrupt.</td>
</tr>
<tr>
<td>eConfStructure</td>
<td>The document doesn't provide appropriate logical structure information.</td>
</tr>
<tr>
<td>eConfOptional</td>
<td>The document contains optional content (layers).</td>
</tr>
</tbody>
</table>
7 Coverage

7.1 All PDF Versions

7.1.1 Lexical Checks
- Structure of tokens such as keywords, names, numbers, strings etc.
- Structure of the cross reference table
- File positions in the trailer dictionary, cross reference table, etc.
- Whether a referenced object has the correct object and generation number
- Length attribute of stream objects

7.1.2 Syntactic Checks
- Structure of dictionaries, arrays, indirect objects, streams, etc.
- Compression errors, e.g. CCITT, JPEG, Flate, etc.
- Errors in embedded font programs
- Errors in ICC color profiles

7.1.3 Semantic Checks
- Required entries in dictionaries, e.g. Width entry in an image dictionary
- Inherited attributes
- Value of the parent entries in dictionaries, e.g. page objects
- Type of the dictionary entry’s value, e.g. integer, string, name
- Whether the object must be indirect or direct, e.g. a page object must be an indirect object
- Order of operators in content streams
- Number of operands of the operators
- Type of operands of the operators
- Value ranges of the operands
- Unknown referenced resources
- Operand stack overflow and underflow
- Inconsistent information, e.g. if an image has a stencil mask and soft mask at the same time
- Conformance to implementation limits defined by the PDF Reference
- Absence of unredered XFA forms

7.2 Checks Specific for PDF/A

7.2.1 Lexical Checks
- No header offset
- Presence of a “binary” marker
7.2.2 Semantic Checks

All Conformance Levels:

- Presence of a unique file identifier
- Presence of document metadata
- Presence of embedded font programs where needed
- Presence of character to glyph mapping (encoding) information for the fonts
- Presence of an output intent if needed
- Absence of encryption
- Absence of LZW and non-standard filters
- Absence of JavaScript
- Absence of un-allowed annotations
- Absence of un-allowed actions
- Absence of form fields that are generated on the fly
- Absence of embedded PostScript code
- Absence of invisible, hidden or non-printable annotations
- Absence of device specific color spaces
- Absence of unknown rendering intents
- Absence of image interpolation
- Absence of externally referenced information (external streams, reference XObjects, etc.)
- Absence of Open Print Interface (OPI) information
- Absence of alternate images
- Absence of color transfer and half-toning functions

Additional Checks for PDF/A-1

- Absence of JPX
- Absence of layers
- Absence of transparency
- Absence of embedded files
- Absence of XRef streams
- Conformity of metadata

Additional Checks for PDF/A-2

- PDF/A conformance of embedded files
- Consistency of spot colors

Additional Checks for Level A and U (PDF/A-1a, PDF/A-2a, PDF/A-2u, PDF/A-3a, PDF/A-3u)

- Presence of Unicode information of fonts where needed

Additional Checks for Level A (PDF/A-1a, PDF/A-2a, PDF/A-3a)

- Presence of logical structure information (tagging)
- Presence of alternate descriptions of content (replacement text) where needed

7.3 Supported PDF Versions

The 3-Heights™ PDF Validator API currently validates the following versions of the PDF Reference and PDF/A ISO standard:
### Supported PDF Versions

<table>
<thead>
<tr>
<th>Version Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>PDF 1.x</td>
<td>PDF Reference 1.3 - 1.6</td>
</tr>
<tr>
<td>PDF 1.7</td>
<td>PDF 1.7, ISO 32000-1</td>
</tr>
<tr>
<td>PDF 2.0</td>
<td>PDF 2.0, ISO 32000-2</td>
</tr>
<tr>
<td>PDF/A-1a</td>
<td>PDF/A-1a, ISO 19005-1, Level A conformance</td>
</tr>
<tr>
<td>PDF/A-1b</td>
<td>PDF/A-1b, ISO 19005-1, Level B conformance</td>
</tr>
<tr>
<td>PDF/A-2a</td>
<td>PDF/A-2a, ISO 19005-2, Level A conformance</td>
</tr>
<tr>
<td>PDF/A-2b</td>
<td>PDF/A-2b, ISO 19005-2, Level B conformance</td>
</tr>
<tr>
<td>PDF/A-2u</td>
<td>PDF/A-2u, ISO 19005-2, Level U conformance</td>
</tr>
<tr>
<td>PDF/A-3a</td>
<td>PDF/A-3a, ISO 19005-3, Level A conformance</td>
</tr>
<tr>
<td>PDF/A-3b</td>
<td>PDF/A-3b, ISO 19005-3, Level B conformance</td>
</tr>
<tr>
<td>PDF/A-3u</td>
<td>PDF/A-3u, ISO 19005-3, Level U conformance</td>
</tr>
</tbody>
</table>
8 Version History

Some of the documented changes below may be preceded by a marker that specifies the interface technologies the change applies to. E.g. [C, Java] applies to the C and the Java interface.

8.1 Changes in Version 6

- **Improved** validation of corrupt DCT stream data.
- **Improved** XML output of method `WriteFontValidationXML()` with new attribute `is-symbolic` in the element `<Font>` of simple fonts.
- [Java] **Changed** minimal supported Java language version to 7 [previously 6].
- [PHP] **Removed** all versions of the PHP interface.
- [.NET] **New** availability of this product as NuGet package for Windows, macOS and Linux.
- [.NET] **New** support for .NET Core versions 1.0 and higher. The support is restricted to a subset of the operating systems supported by .NET Core, see Operating Systems.
- [.NET] **Changed** platform support for NuGet packages: The platform “AnyCPU” is now supported for .NET Framework projects.

8.2 Changes in Version 5

- Custom Validation Profiles
  - **New** key `Linearization` in section `File` to check whether files are linearized.
  - **New** keys `ImageMaxDPI` and `ImageMinDPI` in section `Graphics` to validate the resolution of images.
- **New** additional supported operating system: Windows Server 2019.
- [PHP] **New** extension PHP 7.3 (non thread safe) for Linux.

8.3 Changes in Version 4.12

- **Introduced** license feature **Custom**.
- Custom Validation Profiles
  - **New** key `MaxPageSize` in section `Pages` to disallow pages exceeding the specified size in any dimension.
  - **New** key `RequirePageResources` in section `Pages` to test if pages contain an explicitly associated resource dictionary.
  - **New** keys `Embedding`, `EmbeddingExcFonts`, and `EmbeddingExcFont{i}`: in section `Fonts` to test the embedding of fonts.
- **Changed** validation of certain numbers: Use lax validation according to the PDF Association’s TechNote 0010 for certain numbers that have no effect on the visual appearance of the document.
- **Improved** validation performance, e.g. when reporting many errors or analyzing ICC profiles.
- **Improved** detection of corrupt DCT streams that might cause interoperability issues.
- **New** HTTP proxy setting in the GUI license manager.

Interface PDFValidator

- [C, Java] **New** method `WriteFontValidationXML`: Write font validation information in XML format to a stream.
8.4 Changes in Version 4.11

- **New** support for reading PDF 2.0 documents.
- **[PHP]** New Interface for Windows and Linux. Supported versions are PHP 5.6 & 7.0 (Non Thread Safe). The PdfValidatorAPI PHP Interface is contained in the 3-Heights™ PDF Tools PHP5.6 Extension and the 3-Heights™ PDF Tools PHP7.0 Extension.
- **[C]** Changed 32-bit binaries on Windows that link to the API need to be recompiled due to a change of the used mangling scheme.

8.5 Changes in Version 4.10

- **Updated** validation according to the PDF Association’s TechNote 0010, which describes some peer-reviewed resolutions to a variety of ambiguities of corner cases of the PDF/A specifications.
- **Improved** stricter validation of font files of embedded fonts.
- **Improved** stricter validation of logical structure information (PDF/A level A).
- Digital Signatures
  - **Improved** signature validation.
    - More signature formats supported, most notably the new European PAdES norm. The Windows cryptographic provider now supports the same formats as the PKCS#11 provider.
    - Support signature algorithm RSA with SSA-PSS (PKCS#1v2.1).
- **Improved** robustness against corrupt input PDF documents.
- **[C]** Clarified Error handling of TPdfStreamDescriptor functions.

8.6 Changes in Version 4.9

- **Improved** support for and robustness against corrupt input PDF documents.
- **Improved** repair of embedded font programs that are corrupt.
- **New** support for OpenType font collections in installed font collection.
- **[C]** Changed return value pfGetLength of TPDFStreamDescriptor to pos_t.

8.7 Changes in Version 4.8

- **[.NET, C, COM, Java]** New method Terminate to terminate and unload all cryptographic providers.
- **[.NET, C, COM, Java]** New property ProductVersion to identify the product version.
- **[.NET]** Deprecation method GetLicenseIsValid.
- **[.NET]** New property LicenseIsValid.

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7 This has no effect on neither the .NET, Java, nor COM API
9 Licensing, Copyright, and Contact

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